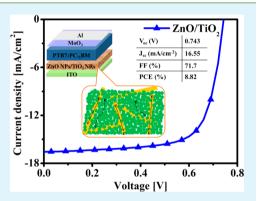
# High-Performance Inverted Solar Cells Based on Blend Films of ZnO Naoparticles and TiO<sub>2</sub> Nanorods as a Cathode Buffer Layer

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Supporting Information

**ABSTRACT:** We reported the favorable cathode buffer layer based on a blend of ZnO nanoparticles (NPs) and  ${\rm TiO_2}$  nanorods (NRs) applied to inverted solar cells. In addition to the high optical transmittance, the resultant blend film gave a relatively dense film with lower roughness than that of the respective single-component film. This improved the interface contact between the buffer layer and photoactive layer and therefore reduced the contact resistance and leakage current. Moreover, the combination of NRs and NPs increased the efficiency of electron transport and collection by providing both a direct path for electron transport from  ${\rm TiO_2}$  NRs and a large contact area between ZnO NPs and the active layer. Consequently, both the short-circuit current density ( $J_{\rm sc}$ ) and fill factor (FF) in the device were improved, leading to an improvement of the device performance. The best power conversion efficiency (PCE) based on the blend film as the buffer layer reached 8.82%, which was preferable to those of a single ZnO NP film (7.76%) and a  ${\rm TiO_2}$  NR-based device (7.66%).



KEYWORDS: ZnO nanoparticles, TiO2 nanorods, blend film, cathode buffer layer, inverted solar cells

## 1. INTRODUCTION

In the last decades, polymer solar cells (PSCs) have been a hot research topic because of their promising advantages such as low cost, flexibility, and light weight compared with conventional silicon-based solar cells. 1-3 The first bulk-heterojunction PSCs were successfully realized in 1995 by the Heeger group and gave a power conversion efficiency (PCE) of 2.9%.4 With the development of new donor and acceptor materials and advanced processing techniques flocking in, PSCs with PCEs of 7-9% based on poly(3,4-ethylenedioxythiophene):poly-(styrenesulfonate) (PEDOT:PSS) as the hole-transport layer have been documented. 5-8 In the meantime, the erosion of indium-tin oxide (ITO) by acidic PEDOT:PSS and oxidation of an air-sensitive, low-work-function metal cathode become the main factors to influence the long lifetime stability in photovoltaic devices. 9–11 Hence, in addition to increasing PCE, further efforts should be made to improve the device stability.

To solve this issue, one strategy is to use an inverted device architecture. Since the first example reported in 2006,  $^{12,13}$  the inverted device structure has been extensively studied. So far, PCEs as high as 9.2% have been achieved.  $^{14-16}$  In an inverted structure, the top electrode, composed of a high-work-function transition-metal oxide (NiO, MoO<sub>3</sub>, V<sub>2</sub>O<sub>5</sub>, and WO<sub>3</sub>) layer and a high-work-function metal electrode (Au and Ag), is used to collect the holes.  $^{17-19}$  Meanwhile, the ITO electrode is

modified with a thin film of n-type material such as cesium carbonate  $(Cs_2CO_3)$ , zinc oxide (ZnO),  $^{13,21}$  and titanium oxide  $(TiO_2$  and  $TiO_x)^{22,23}$  as hole-blocking and electron-transport layers (ETLs), which could functionalize the work-function of the ITO electrode. In this way, PEDOT:PSS is replaceable, and new interfacial materials can improve the device stability.

The cathode buffer layer on the ITO electrode is one of the key components of the inverted architecture. Several criteria should be met to obtain a favorable cathode buffer layer for an efficient photovoltaic device, such as good transparency, high electron mobility, favorable morphology, and high electron affinity to collect the electrons. Among n-type metal oxides, ZnO and  ${\rm TiO_2}$  nanoparticles (NPs) have drawn more attention because of their good transparency, environmental stability, simplicity of process, and low crystallization temperature. However, the ZnO NP film presents apparent surface defects due to aggregations, leading to poor electronic coupling and severe back charge recombination. Meanwhile,  ${\rm TiO_2}$  NPs possess wide band gap (3.2 eV) and low electron mobility  $(10^{-5}~{\rm cm^2~V^{-1}~S^{-1}})$ . One-dimensional  ${\rm TiO_2}$  nanorods (NRs)

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support a direct pathway to facilitate electron transport, which has been used in hybrid solar cell investigation. However, its relatively low surface-to-volume ratio decreases the contact area and influences electron collection in the photovoltaic device. Different methods have been used to address these issues such as doping with metals, 22,33 use of composite materials, incorporation of fullerene derivatives, 25,35-39 and use of hybrids with conjugated molecules. Those help to improve the contact quality and modify the work function of metal oxides, further increasing the performance of organic solar cells. In comparison, few studies have worked on the combination of metal oxide nanomaterials with various shapes and sizes as a buffer layer in solar cells.

In this study, the blend films of ZnO NPs and TiO2 NRs were embedded in a cathode buffer layer of inverted PSCs. The photoactive materials involved were poly[[4,8-bis[(2ethylhexyl)oxy]benzo[1,2-b:4,5-b']dithiophene-2,6-diyl][3-fluoro-2-[(2-ethylhexyl)carbonyl]thieno[3,4-b]thiophenediyl]] (PTB7) as the electron donor and [6,6]phenyl-C71-butyric acid methyl ester (PC<sub>71</sub>BM) as the electron acceptor. We successfully demonstrated highly efficient PSCs with an average PCE of 8.58% under simulated solar illumination (AM 1.5G 100 mW cm<sup>-2</sup>), which was increased by 15.6% and 12.6% in comparison with those of devices based solely on the ZnO NP film (7.42%) and TiO2 NR film (7.62%), respectively. To understand the efficiency enhancement, the optical property, surface morphology, composition structure, energy-level property, and electrical properties of the blend film were investigated by multiple measurements such as UV-vis absorption, scanning electron microscopy (SEM), atomic force microscopy (AFM), X-ray photoelectron spectroscopy (XPS), and ultraviolet photoelectron spectroscopy (UPS). The results showed that the interfacial blend film possesses favorable optoelectronic properties and superior film morphology, which improve interfacial contact to facilitate charge collection.

### 2. EXPERIMENTAL SECTION

Reagent and Materials. Indium—tin oxide (ITO)-coated glass substrates were purchased from CSG Holding Co., Ltd. (China;  $R_{\rm s} \leq 10~\Omega/\Box$ ;  $T_{\rm r} \geq 83\%$ ). Electron-donor material PTB7 was purchased from 1-material Chemscitech, and electron-acceptor PC $_{71}$ BM was purchased from Nano-C. MoO $_{3}$  was obtained from Alfa Aesar. Chlorobenzene was provided by Sigma-Aldrich. In addition, zinc acetate dihydrate [Zn(Ac) $_{2}\cdot$ 2H $_{2}$ O], potassium hydroxide (KOH), methanol, and chloroform were purchased from Sinopharm Chemical Reagent Co. and used as received.

Preparation of ZnO NPs. ZnO NPs were prepared according to the procedures. <sup>47,48</sup> We used chloroform (5 mL) to dissolve the NPs to obtain a solution with a concentration of 70 mg mL<sup>-1</sup> on average. Then the concentration of 15 mg mL<sup>-1</sup> ZnO was obtained by dilution of the original solution with chloroform and a small amount methanol (10 vol %).

**Preparation of TiO<sub>2</sub> NRs.** TiO<sub>2</sub> NRs were fabricated following the process reported by Weller et al.<sup>49</sup> The as-synthesized TiO<sub>2</sub> NRs were usually capped with a long-chain ligand of oleic acid (OA), which suppressed charge transfer. Hence, OA of TiO<sub>2</sub> NRs was removed by ligand exchange with pyridine, according to the literature.<sup>50</sup> Finally, TiO<sub>2</sub> NRs were dissolved in chloroform with a concentration of 20 mg mL<sup>-1</sup>.

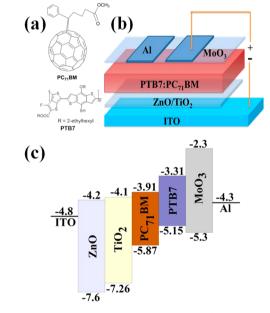
Preparation of Cathode Buffer Layers. The blend solutions of ZnO NPs and TiO<sub>2</sub> NRs with different weight ratios were prepared by mixing the respective solutions of ZnO NPs (15 mg mL<sup>-1</sup>) and TiO<sub>2</sub> NRs (20 mg mL<sup>-1</sup>), and the total concentration was 15 mg mL<sup>-1</sup>.

Device Fabrication and Characterization. The devices were fabricated on ITO-coated glass substrates cleaned with a sequence of detergent, deionized water, acetone, and isopropyl alcohol for 15 min in an ultrasonic bath, dried with a nitrogen stream, and subsequently treated with UV-ozone for 30 min. The ZnO NP solution, TiO2 NR solution, and mixed solution were spin-coated onto the ITO substrates, respectively. Then the ZnO NP and TiO2 NR films were annealed at 80 and 150 °C for 10 min, respectively. The blend film was treated at different annealing temperatures (80, 120, 150, and 200 °C) for 10 min to figure out the optimal conditions. The favorable thickness for the blend film was tested as 45 nm. The blend solution of PTB7:PC71BM (10:15 weight ratio) in chlorobenzene was then spincoated at 1500 rpm for 120 s on top of the buffer layers. Finally, device fabrication was finished by thermal evaporation of 10 nm of MoO<sub>3</sub> and 100 nm of Al under a vacuum of about  $1 \times 10^{-6}$  mbar, and the device area was 0.04 cm<sup>2</sup>. J-V characterization was done using a Keithley 2400 source measure unit under AM 1.5G simulated solar illumination.

**Cathode Buffer Layer Characterization.** Optical transmittance spectra were recorded using a UV-3300 spectrophotometer. The surface morphology and film roughness of the specimens were tested by SEM and AFM. SEM (S-4800) measurements were done at an acceleration voltage of 8 kV. AFM measurements were done in tapping mode using a Veeco dimension V atomic force microscope. XPS and UPS spectra were taken with a Kratos AXIS ULTRA<sup>DLD</sup> UPS/XPS system (Kratos Analytical, Manchester, U.K.).

## 3. RESULTS AND DISCUSSION

Figure 1 shows the structures of PTB7 and  $PC_{71}BM$ , as well as an inverted device structure. The interfacial blend film was



**Figure 1.** (a) Structures of PTB7 and  $PC_{71}BM$ . (b) Device structure of inverted PSCs with blend films of ZnO NPs and  $TiO_2$  NRs as the cathode buffer layer. (c) Corresponding energy-level diagram of each component of the device.

obtained by spin-coating the mixed solution of ZnO NPs and  ${\rm TiO_2}$  NRs onto the ITO electrode. The energy-level diagram of the materials involved in the device is depicted in Figure 1c. The lowest unoccupied molecular orbital (LUMO) and highest occupied molecular orbital (HOMO) energy levels of PTB7 and PC $_{71}$ BM were taken from the literature. The energy levels of ZnO NPs and TiO $_{2}$  NRs were measured by UPS and UV absorption edge. It is obvious that the conduction band

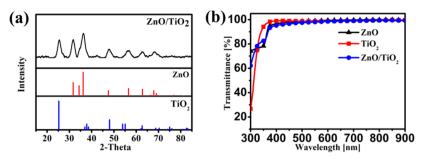


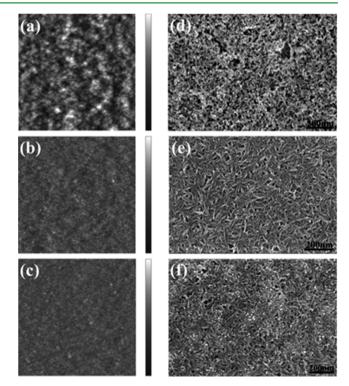
Figure 2. (a) XRD pattern for the ZnO/TiO<sub>2</sub> blend film after annealing at 120 °C for 10 min. (b) UV-vis transmittance spectra of the ZnO NP, TiO<sub>2</sub> NR, and ZnO/TiO<sub>2</sub> blend films on quartz substrates.

(CB) of  $TiO_2$  is very close to the LUMO of  $PC_{71}BM$  and the CB of ZnO, which indicates that electrons can be easily transferred to the ITO electrode through the blend buffer layer. Moreover, the valence bands of ZnO (-7.6 eV) and  $TiO_2$  (-7.26 eV) are lower than the HOMO levels of PTB7 (-5.15 eV) and  $PC_{71}BM$  (-5.87 eV), which block hole transport from PTB7 to the ITO electrode. Thus, from the viewpoint of energy levels, photogenerated carriers can efficiently transport electrodes without significant interfacial energy loss.

The crystallinity of the blend film was investigated by X-ray diffraction (XRD), and the results are shown in Figure 2a. The typical XRD patterns of the blend film confirmed the existence of wurtzite-type ZnO and anatase-type TiO2 crystalline phases, which is in good agreement with the previously reported results. 48,49 No other crystalline phase was found in the XRD pattern, indicating that the matrix is a physical mixture of ZnO NPs and TiO2 NRs. In addition, XPS data are presented in Figure S1 in the Supporting Information (SI). The spectra further gave proof of the existence of ZnO NPs and TiO2 NRs in the blend film. In inverted solar cells, high transparency was required for the cathode buffer layer. Figure 2b compares the UV-vis transmittance spectra (300-900 nm) of the ZnO NP, TiO2 NR, and ZnO/TiO2 blend films on quartz substrates. It is apparent that the three films have high optical transmittance, which is suitable for the buffer layer in organic solar cell. The transmittance near 100% in the wavelength region of 400-900 nm means that light absorption for the photoactive layer can be retained. In the short-wavelength region, the blend film showed partial absorption because of the wide band gap. The operational stability of PSCs could be improved by absorbing ultraviolet light, which usually gives rise to photodegradation of organic materials.52

In addition to transmittance, the morphology of the buffer layer is very crucial for the interfacial electrical property. 53,54 The surface morphologies of the films were investigated by tapping-mode AFM and SEM. Parts a—c of Figure 3 present the AFM images of the ZnO NP, TiO<sub>2</sub> NR, and blend films, respectively. The root-mean-square roughness of the three films was calculated as 12.6, 6.58, and 5.42 nm, respectively. The blend film showed the smoothest surface among the three films. This means that the blend film obtained good contact quality with the PTB7:PC<sub>71</sub>BM active layer and decreased the contact resistance so as to improve charge collection more effectively. 55

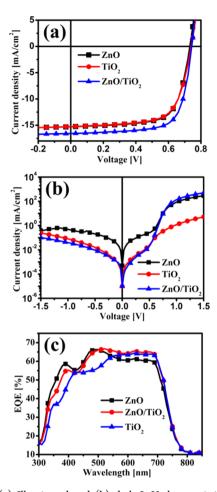
SEM images were employed to further investigate the surface morphology of the three films. In Figure 3d, the ZnO NP film is composed of NPs that possess a high surface-to-volume ratio and increased contact area with the photoactive layer so as to improve the electron collection efficiency. However, ZnO NPs easily form aggregations. Many voids are found on the surface



**Figure 3.** AFM images of the surface morphology of (a) the ZnO NP film, (b) the  $TiO_2$  NR film, and (c) the blend film of  $ZnO/TiO_2$  (with a scan size of 5  $\mu$ m × 5  $\mu$ m and a height bar of 200 nm). SEM images of the morphology of (d) the ZnO NP film, (e) the  $TiO_2$  NR film and (f) the blend film of  $ZnO/TiO_2$  (scale bar: 200 nm).

in the images. The aggregations are thought to be the origin of high roughness. Figure 3e shows the surface morphology of the  $TiO_2$  NR film, and some voids exist on the surface similar to the ZnO NP film. The blend film composed of ZnO NPs and  $TiO_2$  NRs gives the best surface morphology among the three films. It presents relatively compact film morphology and gives the fewest surface voids. According to the literature, the leakage current is caused by voids of the buffer layer in the devices. So, the blend film shows favorable interface properties for photovoltaic applications.

The current density—voltage (J-V) characteristics under AM 1.5G irradiation (100 mW cm<sup>-2</sup>) of inverted PTB7:PC<sub>71</sub>BM solar cells with different electron-transport layers were investigated and are shown in Figure 4. The extracted device parameters, as well as the series resistance  $(R_{\rm s})$  and shunt resistance  $(R_{\rm sh})$  of all of the devices, are summarized in Table 1. The device based on a ZnO NP cathode buffer layer gave a typical open-circuit voltage  $(V_{\rm oc})$  of 0.732 V,  $J_{\rm sc}$  of 15.27 mA



**Figure 4.** (a) Illuminated and (b) dark J-V characteristics of ITO/interlayer/PTB7:PC<sub>71</sub>BM/MoO<sub>3</sub>/Al architecture with different cathode buffer layers. (c) EQE data of devices based on PTB7:PC<sub>71</sub>BM blends using the ZnO NP, TiO<sub>2</sub> NR, and ZnO/TiO<sub>2</sub> blend films as cathode buffer layers measured in air with a larger spot size than the device area.

Table 1. Summary of the Photovoltaic Parameters of PTB7:PC<sub>71</sub>BM Devices Fabricated with Different Cathode Buffer Layers

interlayer	$V_{ m oc} \ [{ m V}]$		FF [%]	$R_{\rm s} \left[ \Omega \atop { m cm}^2 \right]$	$R_{\rm sh} \left[ { m k}\Omega \atop { m cm}^2  ight]$	best/average PCE [%] <sup>a</sup>
ZnO	0.732	15.27	69.4	4.92	1.01	7.76/7.42
$TiO_2$	0.740	15.23	68.0	5.92	0.86	7.66/7.62
ZnO/	0.743	16.55	71.7	4.20	1.11	8.82/8.58
$TiO_2$						

<sup>a</sup>The parameters of PCEs were averaged over six devices. The device parameter distribution map was presented in Figure S5 in the SI

cm<sup>-2</sup>, and FF of 69.4%, corresponding to a PCE of 7.76%. Meanwhile, the device based on the TiO<sub>2</sub> NR cathode buffer layer showed  $V_{\rm oc}$  of 0.740 V,  $J_{\rm sc}$  of 15.23 mA cm<sup>-2</sup>, FF of 68.0%, and PCE of 7.66%. When the optimal weight ratio (10:5 mg mL<sup>-1</sup>) was used in the blend buffer layer, the device presented  $V_{\rm oc}$  of 0.743 V,  $J_{\rm sc}$  of 16.55 mA cm<sup>-2</sup>, and FF of 71.7%, giving PCEs as high as 8.82%. Figure 4c shows the external quantum efficiency (EQE) data of the optimal device based on the blend film.  $J_{\rm EQE}$  calculated from integration of the EQE spectrum from 300 to 800 nm is consistent with  $J_{\rm sc}$  obtained from the J-V results shown above. These results demonstrated the superior interface properties of the blend film. Table 1 presents all of the

device parameters with similar  $V_{oc}$  values. However,  $J_{sc}$  and FF of devices based on the blend film were improved dramatically relative to the devices using ZnO NPs and TiO2 NRs as buffer layers, which represent improvements of 13.5% and 15.0% in the performance, respectively. From the dark I-V characteristics in Figure 4b, the device based on the blend film exhibited excellent diode characteristics with a lower leakage current and a higher rectification ratio. In contrast, the device based on the ZnO NP buffer layer presents a higher leakage current, resulting from the voids in the surface. 56 To investigate the origin of performance enhancement,  $R_s$  and  $R_{sh}$  were also measured.  $R_s$  (4.20  $\Omega$  cm<sup>2</sup>) of devices based on the blend film was lower than those of the ZnO NP film (4.92  $\Omega$  cm<sup>2</sup>) and TiO<sub>2</sub> NR film (5.92  $\Omega$  cm<sup>2</sup>). Moreover,  $R_{\rm sh}$  (1.11 k $\Omega$  cm<sup>2</sup>) of devices based on the blend film was higher than those of the ZnO NP film (1.01 k $\Omega$  cm<sup>2</sup>) and TiO<sub>2</sub> NR film (0.86 k $\Omega$  cm<sup>2</sup>). According to the literature, <sup>26,54</sup> the decreased  $R_{\rm s}$  and increased  $R_{\rm sh}$  contributed to the device performance by improving FF and

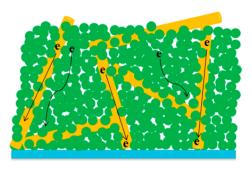
 $J_{\rm sc}$ . To further probe the thermal treatment effect of the blend buffer layer on the device performance of the inverted PSCs, the photovoltaic devices were studied by changing the temperature from 80 to 200 °C for 10 min, and the results are shown in Figure S8 in the SI and summarized in Table 2.

Table 2. Detailed Parameters of the Device Performance Using Blend Films with Different Annealing Temperatures

temperature [°C]	$V_{ m oc} \ [{ m V}]$		FF [%]	$R_{\rm s} \left[ \Omega \atop { m cm}^2 \right]$	$egin{array}{l} R_{ m sh} \ [{ m k}\Omega \ { m cm}^2] \end{array}$	best/ average PCE [%]
80	0.720	14.98	68.4	5.96	0.90	7.38/7.25
120	0.743	16.55	71.7	4.20	1.11	8.82/8.58
150	0.743	16.17	71.2	4.36	1.23	8.55/8.43
200	0.734	16.24	69.0	4.28	0.94	8.23/8.04
120 150	0.720 0.743 0.743	14.98 16.55 16.17	68.4 71.7 71.2	5.96 4.20 4.36	0.90 1.11 1.23	7.38/7. 8.82/8. 8.55/8.

With an increase of the annealing temperature from 80 to 120 °C,  $V_{\rm oc}$ ,  $J_{\rm sc}$ , and FF of the devices increased and thus PCE increased from 7.38% to 8.81%. This result was attributed to the remaining pyridine in the blend film, which obstructs electron collection and electron transport. Pyridine was removed after annealing at 120 °C, resulting in an improvement of the device performance. However, when the annealing temperature was further increased to 150 and 200 °C, the device performance was gradually decreased. The possible reason was that the contact quality of the buffer layer with the electrode became poor, leading to slightly higher contact resistance. To summarize, the appropriate thermal treatment condition was 120 °C for 10 min.

Figure 5 illustrates the mechanism of electron (e<sup>-</sup>) transport in the blend film. Electrons were transferred from the ZnO NP film to the surface of the collector electrode (e.g., ITO substrate) through a zigzag pathway, in which the electrons leap from one NP to the adjacent NP according to the literature. The increase of the electron-transport pathway results in increments of bulk resistance and chances of electron—hole recombination, resulting from the surface defect from ZnO NP aggregations. In the meantime, TiO<sub>2</sub> NRs provide a direct pathway for electron transport, avoiding long-range travel among ZnO NPs, which supports an effective means for electron transport. However, PCE of devices based on the TiO<sub>2</sub> NR film is lower than that of the ZnO NP film, mainly because of insufficient contact area with the active layer. The blend film in nanoscale provides both a large surface area to



**Figure 5.** Schematic illustration of electron (e<sup>-</sup>) transport of the blend film of ZnO NPs and TiO<sub>2</sub> NRs as the cathode buffer layer.

promote charge collection and a direct pathway for high-efficient electron transfer, resulting in the improvement of  $J_{\rm sc}$  and FF in the devices. The combination of ZnO NPs and TiO<sub>2</sub> NRs can make use of both features working as the cathode buffer layer and facilitate electron transport from the active layer to the ITO electrode.

# 4. CONCLUSION

In conclusion, we have developed a new cathode buffer layer based on a combination of ZnO NPs and  ${\rm TiO_2}$  NRs to take advantage of their respective features. The optimized blend film gave a dense film with low roughness. It can facilitate electron collection and improve the electron-transport efficiency. Also, high-efficiency inverted PSC was demonstrated based on PTB7:PC<sub>71</sub>BM, giving an average PCE of 8.58%, which increases by 15.6% and 12.6% in comparison with solely the ZnO NP film (7.42%) and solely the  ${\rm TiO_2}$  NR film (7.62%), respectively. The results not only indicated that the blend film was the superior cathode buffer layer but also provided the method that a combination of inorganic nanomaterials with particular morphology gave multiple advantages in the fabrication of high-performance PSCs.

## ASSOCIATED CONTENT

## **S** Supporting Information

Cross-sectional SEM image and XPS spectra of the blend film, UV—vis absorption spectra of ZnO NP and TiO<sub>2</sub> NR films, UPS spectrum of the ZnO NP film, device parameter distribution map using ZnO, ZnO/TiO<sub>2</sub>, and TiO<sub>2</sub> as the buffer layer in a PTB7:PCB<sub>71</sub>M system, different blend ratio dependences of ZnO NPs/TiO<sub>2</sub> NRs on the device performance, different thickness dependences of a blend film on the device performance, *J*—*V* characteristics, and AFM images of the device performance using a blend film with different annealing temperatures. This material is available free of charge via the Internet at http://pubs.acs.org.

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#### Notes

The authors declare no competing financial interest.

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